

960/217

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/590662

PRIORITY DATE CLAIMED:

11 March 2004

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO

PCT/JP2005/004730

INTERNATIONAL FILING DATE

10 March 2005

TITLE OF INVENTION

**EXHAUST PURIFYING APPARATUS FOR INTERNAL COMBUSTION ENGINE**

APPLICANT(S) FOR DO/EO/US

YOKOI, Tatsuhisa; OTSUBO, Yasuhiko; MATSUNO, Shigehiro; MATSUOKA, Hiroki

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☒ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **INTERNATIONAL SEARCH REPORT, WRITTEN OPINION**

02 MAR 2006 11:03

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO	ATTORNEY'S DOCKET NUMBER
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21. The following fees are submitted:

<input checked="" type="checkbox"/> Basic National Fee.....	\$ 300.00	\$ 300.00	
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22 ☒ Examination FeeIf International preliminary examination report prepared by  
USPTO and all claims satisfy provisions of PCT

Article 33(1)-(4)..... \$ 100.00

All other situations ..... \$ 200.00 \$ 200.00

23. ☒ Search FeeSearch fee (37 CFR 1.445(a)(2) has been paid on the  
international application to the USPTO as an International  
Searching Authority ..... \$ 100.00International Search Report prepared and provided to the  
Office..... \$ 400.00 \$ 400.00

All other situations ..... \$ 500.00

**TOTAL OF ABOVE CALCULATIONS**

\$ 900.00

☐ Additional fee for specification and drawings filed in paper over 100 sheets  
(excluding sequence listing on computer program listing filed in an electronic  
medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
33	0/50=0	0	x \$250	\$ -0-	

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months  
from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	20- 20 =	0	x \$50.00	\$ -0-	
Independent Claims	2 - 3 =	0	x \$200.00	\$ -0-	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ -0-	

**TOTAL OF ABOVE CALCULATIONS =**

\$ 900.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated  
above are reduced by 1/2.

\$ -0-

**SUBTOTAL =**

\$ 900.00

Processing fee of \$130.00 for furnishing the English translation later than  
30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ -0-

**TOTAL NATIONAL FEE =**

\$ 900.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must  
be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).  
\$40.00 per property

\$ \$40.00-

**TOTAL FEES ENCLOSED =**

\$ 940.00

Amount to be  
refunded:

\$

Amount to be  
charged

\$

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 11-0600 in the amount of \$940.00 to over the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit  
any overpayment to Deposit Account No. 11-0600. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or  
(b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  
KENYON & KENYON LLP  
1500 K Street, N.W., Suite 700  
Washington, DC 20005  
**CUSTOMER NO. 23838**



SIGNATURE  
(Reg. No. 34,687)

NAME: Shawn W. O'Dowd

DATE: 25 August 2006